

Estimates on the average cardinality of the value set of general families of univariate polynomials over a finite field.

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Notations

\mathbb{F}_q finite field of q elements,

$\overline{\mathbb{F}}_q$ algebraic closure of \mathbb{F}_q ,

\mathcal{P}_d the set of monic polynomials of $\mathbb{F}_q[T]$ of degree d .

Given $f \in \mathbb{F}_q[T]$, its **value set** is defined as $\{f(c) : c \in \mathbb{F}_q\}$. We denote its cardinality by $\mathcal{V}(f)$.

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Birch and Swinnerton–Dyer [Acta Arith, 1959]: for a “generic” $f \in \mathcal{P}_d$,

$$\mathcal{V}(f) = \mu_d q + O(q^{1/2}),$$

$$\mu_d := \sum_{j=1}^d \frac{(-1)^{j-1}}{j!} \approx 0,6321\dots$$

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Example: Polynomials with s prescribed coefficients

$$\mathcal{A}_s = \{f = T^d + a_{d-1}T^{d-1} + \cdots + a_0 : a_{d-s-1}, \dots, a_0 \in \mathbb{F}_q\}.$$

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Cohen [J London MS, 1972]: for $\text{char}(\mathbb{F}_q) > d$

$$\mathcal{V}(\mathcal{A}_s) = \frac{1}{|\mathcal{A}_s|} \sum_{f \in \mathcal{A}_s} \mathcal{V}(f) = \mu_d q + \mathcal{O}(q^{1/2}).$$

In a joint work with E. Cesaratto, G. Matera, and M. Pérez [JCTA,2014]:

Theorem: if $s \leq d/2$ and any $\text{char}(\mathbb{F}_q)$

$$|\mathcal{V}(\mathcal{A}_s) - \mu_d q| \leq c_1(d),$$

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In a joint work with G. Matera, and M. Pérez [Acta Arith.,2014]:

Theorem: if $s \leq d - 3$ and $\text{char}(\mathbb{F}_q) > 2$

$$|\mathcal{V}(\mathcal{A}_s) - \mu_d q| \leq c_2(d)q^{1/2},$$

where $c_2(d)$ is explicit.

Motivation:

$V(\mathcal{A}_s)$ is related to the analysis of the cost of algorithms for computing \mathbb{F}_q -rational zeros of polynomials in $\mathbb{F}_q[X_1, \dots, X_n]$ based on [search on vertical strips \(SVS\)](#).

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[Cohen](#) [J London MS, 1972] it is shown that, for a **linear** family $\mathcal{A} \subset \mathcal{P}_d$ with certain conditions and $\text{char}(\mathbb{F}_q) > d$,

$$\mathcal{V}(\mathcal{A}) = \frac{1}{|\mathcal{A}|} \sum_{f \in \mathcal{A}} \mathcal{V}(f) = \mu_d q + \mathcal{O}(q^{1/2}).$$

Let d, m be positive integers with $m + 2 \leq d \leq q$, and let $G_1, \dots, G_m \in \mathbb{F}_q[A_{d-1}, \dots, A_0]$ be polynomials of degree d_1, \dots, d_m .

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Let $\mathcal{A} := \mathcal{A}(G_1, \dots, G_m) \subset \mathcal{P}_d$ be the family defined as:

$$\mathcal{A} := \left\{ T^d + \sum_{j=1}^{d-1} a_j T^j : G_i(a_{d-1}, \dots, a_1) = 0 \ (1 \leq i \leq m) \right\}.$$

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Our Aim: establish rather general conditions on G_1, \dots, G_m under which $\mathcal{V}(\mathcal{A}) = \mu_d q + \mathcal{O}(q^{1/2})$.

- Not to impose restrictions on $\text{char}(\mathbb{F}_q)$,
- Obtain explicit estimates.

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$$\sum_{f \in \mathcal{A}} \mathcal{V}(f) = |\{f + b \in \mathcal{A} + \mathbb{F}_q : \mathcal{N}(f + b) > 0\}|.$$

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Furthermore,

$$|\{f + b \in \mathcal{A} + \mathbb{F}_q : \mathcal{N}(f + b) > 0\}| = \left| \bigcup_{x \in \mathbb{F}_q} \mathcal{S}_{\{x\}}^{\mathcal{A} + \mathbb{F}_q} \right|,$$

where $\mathcal{S}_{\{x\}}^{\mathcal{A} + \mathbb{F}_q} = \{f + b \in \mathcal{A} + \mathbb{F}_q : f(x) = 0\}$.

Therefore, by the inclusion–exclusion principle we have that

$$\mathcal{V}(\mathcal{A}) = \frac{1}{|\mathcal{A}|} \left| \bigcup_{x \in \mathbb{F}_q} \mathcal{S}_{\{x\}}^{\mathcal{A} + \mathbb{F}_q} \right| = \frac{1}{|\mathcal{A}|} \sum_{r=1}^q (-1)^{r-1} \sum_{\mathcal{X}_r \subset \mathbb{F}_q} |\mathcal{S}_{\mathcal{X}_r}^{\mathcal{A} + \mathbb{F}_q}|,$$

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Problem: determine the asymptotic behavior of

$$\mathcal{S}_r := \sum_{\mathcal{X}_r \subset \mathbb{F}_q} |\mathcal{S}_{\mathcal{X}_r}^{\mathcal{A} + \mathbb{F}_q}|,$$

for each $1 \leq r \leq d$.

The number:

$$\mathcal{S}_r = \sum_{\mathcal{X}_r \subset \mathbb{F}_q} |\mathcal{S}_{\mathcal{X}_r}^{\mathcal{A} + \mathbb{F}_q}| = |\{(\mathcal{X}_r, f) : \mathcal{X}_r \subset \mathbb{F}_q, f \in \mathcal{A} + \mathbb{F}_q, f|_{\mathcal{X}_r} = 0\}|.$$

We consider the **incidence variety**

$$V_r := \{(\mathcal{X}_r, f) : \mathcal{X}_r \subset \mathbb{F}_q, f \in \mathcal{A} + \mathbb{F}_q, f|_{\mathcal{X}_r} = 0\}.$$

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Γ_r = the set of solutions of these equations in $\overline{\mathbb{F}_q}$.

We study the **singular points** of Γ_r .

Let \mathcal{C} be the set of $f = T^d + a_{d-1}T^{d-1} + \cdots + a_0 \in \overline{\mathbb{F}_q}[T]$ with
 $G_1(a_{d-1}, \dots, a_0) = 0, \dots, G_m(a_{d-1}, \dots, a_0) = 0.$

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A **singular point** of Γ_r arises from:

- a singular point of V , where $V := V(G_1, \dots, G_m)$, or
- a polynomial $f \in \mathcal{C}$ which is **non square-free**.

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This suggests:

- Establishing conditions on G_1, \dots, G_m in order to “control” the dimension of the singular locus of V ,
- Studying the **discriminant locus** $\mathcal{D}(\mathcal{C})$ of \mathcal{C} .

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We shall need further to consider $\mathcal{S}_1(\mathcal{C})$, the **first subdiscriminant locus** of \mathcal{C} ,

$$\mathcal{S}_1(\mathcal{C}) = \{f \in \mathcal{C} : \text{Subdisc}(f) = 0\}, \quad \text{Subdisc}(f) := \text{Subres}(f, f').$$

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- (H_1) G_1, \dots, G_m form a regular sequence and generate a radical ideal of $\mathbb{F}_q[A_{d-1}, \dots, A_0]$.
- (H_2) The variety $V \subset \mathbb{A}^d$ is normal.
- (H_3) Let $G_1^{d_1}, \dots, G_m^{d_m}$ denote the homogeneous parts of higher degree of G_1, \dots, G_m satisfy (H_1) and (H_2) .
- (H_4) $\mathcal{D}(\mathcal{C})$ has **codimension 1** in V and $\mathcal{D}(\mathcal{C}) \cap \mathcal{S}_1(\mathcal{C})$ has **codimension 2** in V .

We obtain the following result:

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Theorem: Let $F_1, \dots, F_{n-r} \in \mathbb{F}_q[X_0, \dots, X_n]$ be homogeneous polynomials of degrees d_1, \dots, d_{n-r} which define a **normal complete intersection** $V \subset \mathbb{P}^n$ of degree δ . Let $D := \sum_{i=1}^{n-r} (d_i - 1)$ and $p_r := |\mathbb{P}^n(\mathbb{F}_q)|$. Then

$$||V(\mathbb{F}_q)| - p_r| \leq \delta(D - 2) + 2q^{r-1/2} + 14D^2\delta^2q^{r-1}.$$

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This allows us to estimate the number of \mathbb{F}_q -rational points of Γ_r and then the asymptotic behavior of \mathcal{S}_r .

Theorem: Let $q > d \geq m + 2$. For any r with $1 \leq r \leq d$, we have

$$\left| \mathcal{S}_r - \frac{q^{d-m}}{r!} \right| \leq \left(\delta_r D_r q^{\frac{1}{2}} + 15 D_r^2 \delta_r^2 + 4r \delta_V \right) \frac{q^{d-m-1}}{r!},$$

where $\delta_r := \delta_V \frac{d!}{(d-r)!}$ and $D_r := D_V + rd - \frac{r(r+1)}{2}$.

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- H_1, H_2 and $H_3 \Rightarrow |\mathcal{A}| = q^{d-m-1} + \mathcal{O}(q^{d-m-3/2})$.
- If $q > 16 D_V^2 \delta_V^2 (1 + 14 D_V \delta_V q^{-1/2})^2$ then $\frac{1}{2} q^{d-m-1} < |\mathcal{A}|$.

Theorem: Let $q > \max \{d, 16D_V^2\delta_V^2(1 + 14D_V\delta_Vq^{-1/2})^2\}$ and $d \geq m + 2$, then:

$$|\mathcal{V}(\mathcal{A}) - \mu_d q| \leq 2^d \delta_V (3D_V + d^2) q^{\frac{1}{2}} + 67\delta_V^2 (D_V + 2)^2 d^{d+5} e^{2\sqrt{d}-d}.$$

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The asymptotic behavior of $\mathcal{V}(\mathcal{A})$

$$\mathcal{V}(\mathcal{A}) = \mu_d q + \mathcal{O}(q^{1/2}).$$

Two examples of families

Linear Families: $L_1, \dots, L_m \in \mathbb{F}_q[A_{d-1}, \dots, A_3]$ are polynomials of degree 1.

Assume that L_1, \dots, L_m are **linearly independent**.

$$\mathcal{A}_{\mathcal{L}} := \left\{ T^d + \sum_{j=1}^{d-1} a_j T^j \in \mathbb{F}_q[T] : L_i(a_{d-1}, \dots, a_3) = 0 \ (1 \leq i \leq m) \right\}.$$

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- It is clear that (H_1) , (H_2) and (H_3) hold.
- (H_4) holds if $\text{char}(\mathbb{F}_q) > 2$. It is a consequence of the absolutely irreducibility of the discriminant locus of $\mathcal{A}_{\mathcal{L}}$.

Theorem: If $\text{char}(\mathbb{F}_q) > 2$ and $q > d \geq m + 2$, then

$$|\mathcal{V}(\mathcal{A}_{\mathcal{L}}) - \mu_d q| \leq 2^d d^2 q^{\frac{1}{2}} + 268 d^{d+5} e^{2\sqrt{d}-d}.$$

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- We obtain **simpler hypotheses** on linear families which imply that the average value set has the expected behavior.
- We only require that $\text{char}(\mathbb{F}_q) > 2$.
- Our estimate is **explicit**.

A nonlinear family of polynomials:

Π_1, \dots, Π_s are the first s elementary symmetric polynomials of $\mathbb{F}_q[A_{d-1}, \dots, A_2]$.

$G_1, \dots, G_m \in \mathbb{F}_q[A_{d-1}, \dots, A_2]$ of the form $G_i := S_i(\Pi_1, \dots, \Pi_s)$.

The weight function $\text{wt} : \mathbb{F}_q[Y_1, \dots, Y_s] \rightarrow \mathbb{N} : \text{wt}(Y_i) := i$.

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- S_1, \dots, S_m and $S_1^{\text{wt}}, \dots, S_m^{\text{wt}}$ form regular sequences of $\mathbb{F}_q[Y_1, \dots, Y_s]$,
- The Jacobian matrices of S_1, \dots, S_m and $S_1^{\text{wt}}, \dots, S_m^{\text{wt}}$ with respect to Y_1, \dots, Y_s have full rank in \mathbb{A}^s .

$$\mathcal{A}_{\mathcal{N}} := \left\{ T^d + \sum_{j=0}^{d-1} a_j T^j \in \mathbb{F}_q[T] : G_i(a_{d-1}, \dots, a_2) = 0 \ (1 \leq i \leq m) \right\}.$$

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- It is easy to verify that (H_1) , (H_2) and (H_3) hold.
- (H_4) holds if $\text{char}(\mathbb{F}_q)$ not dividing $d(d-1)$.

Varieties defined by polynomials of this type arise in several combinatorial problems over finite fields, for example:

- **Coding theory:** the study of deep holes of the standard Reed-Solomon code,
- **Cryptography:** the characterization of monomials defining an almost perfect nonlinear polynomial or differentially uniform mapping.

Thanks for your attention!